Annexure-X

[भाग III–खण्ड 4]

भारत का राजपत्र : असाधारण

7

MINISTRY OF HUMAN RESOURCE DEVELOPMENT

UNIVERSITY GRANTS COMMISSION NOTIFICATION New Delhi, the 5th May, 2016

University Grants Commission (Minimum Standards and Procedure for Award of M.PHIL./PH.D Degrees) Regulations, 2016

{In supersession of the UGC (Minimum Standards and Procedure for Awards of M.Phil/Ph.D. Degree) Regulation, 2009, notified in The Gazette of India [No. 28, Part III- Section 4] for the week July 11-July 17, 2009}

No, F. 1-2/2009(EC/PS)V(I) Vol. II - In exercise of the powers conferred by clauses (f) and (g) of sub-section (1) of Section 26 of the University Grants Commission Act, 1956 (3 of 1956), and in supersession of the UGC (Minimum Standards and Procedure for Awards of M.Phil/Ph.D. Degree) Regulation, 2009, notified in The Gazette of India [No. 28, Part III-Section 4] for the week July 11 — July 17, 2009, the University Grants Commission hereby makes the following Regulations, namely:-

1, Short title, Application and Commencement:

- 1.1 These Regulations may be called University Grants Commission (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) Regulations, 2016.
- 1.2 They shall apply to every University established or incorporated by or under a Central Act, a Provincial Act, or a State Act, every affiliated college, and every Institution Deemed to be a University under Section 3 of UGC Act, 1956,
- 1.3 They shall come into force from the date of their publication in the Gazette of India.

2. Eligibility criteria for admission to the M.Phil, programme:

- 2.1 Candidates for admission to the M.Phil. programme shall have a Master's degree or a professional degree declared equivalent to the Master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) or an equivalent degree from a foreign educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions.
- 2.2 A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC(non-creamy layer)/Differently-Abled and other categories of candidates as per the decision of the Commission from time to time, or for those who had obtained their Master's degree prior to 19th September, 1991. The eligibility marks of 55% (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible based only on the qualifying marks without including the grace mark procedures.

3. Eligibility criteria for admission to Ph,D,programme:

Subject to the conditions stipulated in these Regulations, the following persons are eligible to seek admission to the Ph,D, programme:

- 3.1 Master's Degree holders satisfying the criteria stipulated under Clause 2 above,
- 3.2 Candidates who have cleared the M,Phil. course work with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) and successfully completing the M,Phil. Degree shall be eligible to proceed to do research work leading to the Ph, D, Degree in the same Institution in an integrated programme, A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC(non-creamy layer)/differently-abled and other categories of candidates as per the decision of the Commission from time to time.

- 3.3 A person whose M,Phil, dissertation has been evaluated and the viva voce is pending may be admitted to the Ph,D, programme of the same Institution;
- 3.4 Candidates possessing a Degree considered equivalent to M,Phil, Degree of an Indian Institution, from a Foreign Educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions, shall be eligible for admission to Ph.D. programme.
- 4. Duration of the Programme:
 - 4.1 M.Phil, programme shall be for a minimum duration of two (2) consecutive semesters / one year and a maximum of four (4) consecutive semesters / two years.
 - 4.2 Ph.D. programme shall be for a minimum duration of three years, including course work and a maximum of six years.
 - 4.3 Extension beyond the above limits will be governed by the relevant clauses as stipulated in the Statute/Ordinance of the individual Institution concerned,
 - 4.4 The women candidates and Persons with Disability (more than 40% disability) may be allowed a relaxation of one year for M.Phil and two years for Ph.D. in the maximum duration. In addition, the women candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of M.Phil/Ph.D. for up to 240 days.
 - 5. Procedure for admission:
 - 5.1 All Universities and Institutions Deemed to be Universities shall admit M.Phil/Ph.D, students through an Entrance Test conducted at the level of Individual University/Institution Deemed to be a University, The University/Institution Deemed to be a University may decide separate terms and conditions for Ph.D. Entrance Test for those students who qualify UGC-NET (including JRF)/UGC-CSIR NET (including JRF)/SLET/GATE/teacher fellowship holder or have passed M.Phil programme, Similar approach may be adopted in respect of Entrance Test for M.Phil programme,
 - 5.2 Higher Educational Institutions (HEIs) referred to in sub-clause 1,2 above and Colleges under them which are allowed to conduct M.Phil, and/or Ph.D. programmes, shall:
 - 5.2.1 decide on an annual basis through their academic bodies a predetermined and manageable number of M.Phil, and/or Ph.D. scholars to be admitted depending on the number of available Research Supervisors and other academic and physical facilities available, keeping in mind the norms regarding the scholar- teacher ratio (as indicated in Para 6.5), laboratory, library and such other facilities;
 - 5.2.2 notify well in advance in the institutional website and through advertisement in at least two (2) national newspapers, of which at least one (1) shall be in the regional language, the number of seats for admission, subject/discipline-wise distribution of available seats, criteria for admission, procedure for admission, examination centre(s) where entrance test(s) shall be conducted and all other relevant information for the benefit of the candidates;
 - 5.2.3 adhere to the National/State-level reservation policy, as applicable,
 - 5.3 The admission shall be based on the criteria notified by the Institution, keeping in view the guidelines/norms in this regard issued by the UGC and other statutory bodies concerned, and taking into account the reservation policy of the Central/State Government from time to time.
 - 5.4 HEIs as mentioned in Clause 1.2 shall admit candidates by a two stage process through:
 - 5.4.1 An Entrance Test shall be qualifying with qualifying marks as 50%. The syllabus of the Entrance Test shall consist of 50% of research methodology and 50% shall be

subject specific. The Entrance Test shall be conducted at the Centre(s) notified in advance (changes of Centres, if any, also to be notified well in advance) at the level of the individual HEI as mentioned in clause 1.2; and

- 5.4.2 An interview/viva-voce to be organized by the HEI as mentioned in clause 1.2 when the candidates are required to discuss their research interest/area through a presentation before a duly constituted Department Research Committee.
- 5.5 The interview/viva voce shall also consider the following aspects, viz. whether:
 - 5.5.1 the candidate possesses the competence for the proposed research;
 - 5,5,2 the research work can be suitably undertaken at the Institution/College;
 - 5,5,3 the proposed area of research can contribute to new/additional knowledge,
- 5.6 The University shall maintain the list of all the M,Phil, / Ph,D, registered students on its website on year-wise basis. The list shall include the name of the registered candidate, topic of his/her research, name of his/her supervisor/co-supervisor, date of enrolment/registration.
- Allocation of Research Supervisor: Eligibility criteria to be a Research Supervisor, Co- Supervisor, Number of M.Phil./Ph.D. scholars permissible per Supervisor, etc.
 - 6.1 Any regular Professor of the University/Institution Deemed to be a University/College with at least five research publications in refereed journals and any regular Associate/Assistant Professor of the university/institution deemed to be a university/college with a Ph,D, degree and at least two research publications in refereed journals may be recognized as Research Supervisor.

Provided that in areas/disciplines where there is no or only a limited number of refereed journals, the Institution may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing.

- 6.2 Only a full time regular teacher of the concerned University/Institution Deemed to be a University/College can act as a supervisor. The external supervisors are not allowed. However, Co-Supervisor can be allowed in inter-disciplinary areas from other departments of the same institute or from other related institutions with the approval of the Research Advisory Committee.
- 6.3 The allocation of Research Supervisor for a selected research scholar shall be decided by the Department concerned depending on the number of scholars per Research Supervisor, the available specialization among the Supervisors and research interests of the scholars as indicated by them at the time of interview/viva voce.
- 6.4 In case of topics which are of inter-disciplinary nature where the Department concerned feels that the expertise in the Department has to be supplemented from outside, the Department may appoint a Research Supervisor from the Department itself, who shall be known as the Research Supervisor, and a Co-Supervisor from outside the Department/ Faculty/College/Institution on such terms and conditions as may be specified and agreed upon by the consenting Institutions/Colleges.
- 6.5 A Research Supervisor/Co-supervisor who is a Professor, at any given point of time, cannot guide more than three (3)M.Phil, and Eight (8) Ph.D. scholars. An Associate Professor as Research Supervisor can guide up to a maximum of two (2) M.Phil, and six (6) Ph.D. scholars and an Assistant Professor as Research Supervisor can guide up to a maximum of one (1) M.Phil, and four (4) Ph.D. scholars.
- 6.6 In case of relocation of an M.Phil/Ph.D. woman scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the parent institution/ supervisor from any funding agency. The scholar will however give due credit to the parent guide and the institution for the part of research already done.
- 7. Course Work: Credit Requirements, number, duration, syllabus, minimum standards for completion, etc.
 - 7.1 The credit assigned to the M,Phil, or Ph.D. course work shall be a minimum of 08 credits and a maximum of 16 credits.

- 7.2 The course work shall be treated as prerequisite for M.Phil/Ph.D. preparation. A minimum of four credits shall be assigned to one or more courses on Research Methodology which could cover areas such as quantitative methods, computer applications, research ethics and review of published research in the relevant field, training, field work, etc. Other courses shall be advanced level courses preparing the students for M.Phil/Ph.D. degree.
- 7.3 All courses prescribed for M.Phil, and Ph.D. course work shall be in conformity with the credit hour instructional requirement and shall specify content, instructional and assessment methods. They shall be duly approved by the authorized academic bodies.
- 7.4 The Department where the scholar pursues his/her research shall prescribe the course(s) to him/her based on the recommendations of the Research Advisory Committee, as stipulated under sub-Clause 8,1 below, of the research scholar,
- 7.5 All candidates admitted to the M.Phil, and Ph.D. programmes shall be required to complete the course work prescribed by the Department during the initial one or two semesters.
- 7.6 Candidates already holding M. Phil. degree and admitted to the Ph.D. programme, or those who have already completed the course work in M.Phil, and have been permitted to proceed to the Ph.D. in integrated course, may be exempted by the Department from the Ph.D. course work. All other candidates admitted to the Ph.D. programme shall be required to complete the Ph.D. course work prescribed by the Department,
- 7.7 Grades in the course work, including research methodology courses shall be finalized after a combined assessment by the Research Advisory Committee and the Department and the final grades shall be communicated to the Institution/College,
- 7.8 A M.Phil./Ph.D. scholar has to obtain a minimum of 55% of marks or its equivalent grade in the UGC 7-point scale (or an equivalent grade/CGPA in a point scale wherever grading system is followed) in the course work in order to be eligible to continue in the programme and submit the dissertation/thesis,

8. Research Advisory Committee and its functions:

- 8.1 There shall be a Research Advisory Committee, or an equivalent body for similar purpose as defined in the Statutes/Ordinances of the Institution concerned, for each M.Phil, and Ph.D. scholar. The Research Supervisor of the scholar shall be the Convener of this Committee, This Committee shall have the following responsibilities:
 - 8.1.1 To review the research proposal and finalize the topic of research;
 - 8.1.2 To guide the research scholar to develop the study design and methodology of research and identify the course(s) that he/she may have to do.
 - 8,1,3 To periodically review and assist in the progress of the research work of the research scholar,
- 8.2 A research scholar shall appear before the Research Advisory Committee once in six months to make a presentation of the progress of his/her work for evaluation and further guidance. The six monthly progress reports shall be submitted by the Research Advisory Committee to the Institution/College with a copy to the research scholar.
- 8.3 In case the progress of the research scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the research scholar fails to implement these corrective measures, the Research Advisory Committee may recommend to the Institution/College with specific reasons for cancellation of the registration of the research scholar.
- 9. Evaluation and Assessment Methods, minimum standards/credits for award of the degree, etc.:
 - 9.1 The overall minimum credit requirement, including credit for the course work, for the award of M.Phil, degree shall not be less than 24 credits,
 - 9.2 Upon satisfactory completion of course work, and obtaining the marks/grade prescribed in sub-clauses 7.8 above, as the case may be, the M.Phil/Ph.D. scholar shall be required to undertake research work

and produce a draft dissertation/thesis within a reasonable time, as stipulated by the Institution concerned based on these Regulations.

- 9.3 Prior to the submission of the dissertation/thesis, the scholar shall make a presentation in the Department before the Research Advisory Committee of the Institution concerned which shall also be open to all faculty members and other research scholars. The feedback and comments obtained from them may be suitably incorporated into the draft dissertation/thesis in consultation with the Research Advisory Committee.
- 9.4 M.Phil scholars shall present at least one (1) research paper in a conference/seminar and Ph.D. scholars must publish at least one (1) research paper in refereed journal and make two paper presentations in conferences/seminars before the submission of the dissertation/thesis for adjudication, and produce evidence for the same in the form of presentation certificates and/or reprints.
- 9.5 The Academic Council (or its equivalent body) of the Institution shall evolve a mechanism using well developed software and gadgets to detect plagiarism and other forms of academic dishonesty. While submitting for evaluation, the dissertation/thesis shall have an undertaking from the research scholar and a certificate from the Research Supervisor attesting to the originality of the work, vouching that there is no plagiarism and that the work has not been submitted for the award of any other degree/diploma of the same Institution where the work was carried out, or to any other Institution.
- 9.6 The M.Phil, dissertation submitted by a research scholar shall be evaluated by his/her Research Supervisor and at least one external examiner who is not in the employment of the Institution/College. The viva-voce examination, based among other things, on the critiques given in the evaluation report, shall be conducted by both of them together, and shall be open to be attended by Members of the Research Advisory Committee, all faculty members of the Department, other research scholars and other interested experts/ researchers.
- 9.7 The Ph.D. thesis submitted by a research scholar shall be evaluated by his/her Research Supervisor and at least two external examiners, who are not in employment of the Institution/College, of whom one examiner may be from outside the country. The *viva-voce* examination, based among other things, on the critiques given in the evaluation report, shall be conducted by the Research Supervisor and at least one of the two external examiners, and shall be open to be attended by Members of the Research Advisory Committee, all faculty members of the Department, other research scholars and other interested experts/researchers.
- 9.8 The public viva-voce of the research scholar to defend the dissertation/thesis shall be conducted only if the evaluation report(s) of the external examiner(s) on the dissertation/thesis is/are satisfactory and include a specific recommendation for conducting the viva-voce examination. If the evaluation report of the external examiner in case of M.Phil. dissertation, or one of the evaluation reports of the external examiner in case of Ph.D. thesis, is unsatisfactory and does not recommend viva-voce, the Institution shall send the dissertation/ thesis to another external examiner out of the approved panel of examiners and the viva-voce examination shall be held only if the report of the latest examiner is also unsatisfactory, the dissertation/ thesis shall be rejected and the research scholar shall be declared ineligible for the award of the degree.
- 9.9 The Institutions shall develop appropriate methods so as to complete the entire process of evaluation of M.Phil, dissertation/ Ph.D, thesis within a period of six months from the date of submission of the dissertation/thesis.
- 10. Academic, administrative and infrastructure requirement to be fulfilled by Colleges for getting recognition for offering M.Phil/Ph.D. programmes:
 - 10.1 Colleges may be considered eligible to offer M.Phil/Ph .D programmes only if they satisfy the availability of eligible Research Supervisors, required infrastructure and supporting administrative and research promotion facilities as per these Regulations.
 - 10.2 Post-graduate Departments of Colleges, Research laboratories of Government of India/State Government with at least two Ph,D, qualified teachers/scientists/other academic staff in the Department concerned along with required infrastructure, supporting administrative and research promotion facilities as per these Regulations, stipulated under sub-clause 10,3, shall be considered eligible to offer M.Phil./Ph.D. programmes. Colleges should additionally have the necessary recognition by the

Institution under which they operate to offer M.Phil/Ph.D. programme.

- 10.3 Colleges with adequate facilities for research as mentioned below alone shall offer M.Phil./Ph. D. programmes:
 - 10.3.1 In case of science and technology disciplines, exclusive research laboratories with sophisticated equipment as specified by the Institution concerned with provision for adequate space per research scholar along with computer facilities and essential software, and uninterrupted power and water supply;
 - 10.3.2 Earmarked library resources including latest books, Indian and International journals, ejournals, extended working hours for all disciplines, adequate space for research scholars in the Department/ library for reading, writing and storing study and research materials;
 - 10.3.3 Colleges may also access the required facilities of the neighbouring Institutions/Colleges, or of those Institutions/Colleges/R&D laboratories/Organizations which have the required facilities.
- 11. Treatment of Ph.D / M.Phil, through Distance Mode/Part-time:
 - 11.1 Notwithstanding anything contained in these Regulations or any other Rule or Regulation, for the time being in force, no University; Institution, Deemed to be a University and College shall conduct M.Phil, and Ph.D. Programmes through distance education mode,
 - 11.2 Part-time Ph,D will be allowed provided all the conditions mentioned in the extant Ph,D Regulations are met,
- 12. Award of M.Phil./Ph.D. degrees prior to Notification of these Regulations, or degrees awarded by foreign Universities:
 - 12.1 Award of degrees to candidates registered for the M.Phil/Ph.D. programme on or after July 11, 2009 till the date of Notification of these Regulations shall be governed by the provisions of the UGC (Minimum Standards and procedure for Awards of M.Phil/Ph.D Degree) Regulation, 2009.
 - 12.2 If the M.Phil./Ph.D. degree is awarded by a Foreign University, the Indian Institution considering such a degree shall refer the issue to a Standing Committee constituted by the concerned institution for the purpose of determining the equivalence of the degree awarded by the foreign University.
- 13. Depository with INFLIBNET:
 - 13.1 Following the successful completion of the evaluation process and before the announcement of the award of the M.Phil./Ph.D. degree(s), the Institution concerned shall submit an electronic copy of the M.Phil, dissertation /Ph, D, thesis to the INFLIBNET, for hosting the same so as to make it accessible to all Institutions/Colleges.
 - 13.2 Prior to the actual award of the degree, the degree-awarding Institution shall issue a provisional Certificate to the effect that the Degree has been awarded in accordance with the provisions of these UGC Regulations, 2016.

Prof. JASPAL S. SANDHU, Secy.

[ADVT.-III/4/Exty./143(113)]

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PHI	TUTES GOVERNING ADMISSION & AWARD OF DEGREE OF DOCTOR OF LOSOPHY (Ph.D) and INTEGRATED DEGREE OF MASTER OF LOSOPHY (M.PHIL) AND DOCTOR OF PHILOSOPHY (PH.D), 2017
1.	Short Title and Commencement:
	 i) These Statutes shall be called the University of Kashmir Degree of Doctor of Philosophy (Ph.D) and Integrated M.Phil & Ph.D Statutes, 2017 ii) These Statutes shall govern admissions leading to the award of Degree of Ph.D and Integrated M.Phil & Ph.D to be conferred by the University of Kashmir and shall be applicable from the date of notification.
2.	Definitions
	 In these Statutes, unless the context otherwise requires: a) "Act" means the Kashmir and Jammu Universities Act, 1969; b) "University" means the University of Kashmir as defined under Section 2(k) of the Act; c) "Statutes" mean Statutes as defined under Section 2 (h) of the Act; e) "Syndicate" means the Syndicate as constituted under Section 2 of the Act; f) "Academic Council" means the Academic Council as defined under Section 2 (a) of the Act; g) "College" means a College as defined under Section 2 (c) of the Act; h) "Constituent College" means the Constituent College as defined under Section 2 (d) of the Act; and includes autonomous college designated so by the competent authority; i) "Affiliated College" means an affiliated College as defined under Section 2(b) of the Act; j) "DRC" means Departmental Research Committee as constituted under Statute 4 of these Statutes and would mean the Department/ Centre/ Institute where the candidate is being or has been registered ;
	k) "BORS" means the Board of Research Studies as defined under Section 2(e) of the
	 Act; 1) "BOE" means the Board of Examiners appointed to evaluate and assess Dissertation /Thesis of scholars for M.Phil/ Ph.D/ Integrated M.Phil & Ph.D programme. m) "External Examiner" means an examiner who is not on the pay rolls of the University of Kashmir;
	 n) "Registration" means registration of candidates for M.Phil/Integrated Ph.D. granted by the BORS under these Statutes and includes re-registration also;
	o) Research Progress Assessment Committee (RPAC) means a prescribed committee constituted under these Statutes to evaluate and assess the research progress of the registered scholar;
	P) M.Phil means M.Phil as conferred under these Statutes;q) "Ph.D." means Doctor of Philosophy as conferred under these Statutes;

	r) Integrated M.Phil & Ph.D means the Integrated M.Phil & Ph.D Degree as conferred
	under these Statutes.
3	Recognition of Deptt/Centre as Research Centre:
	(I) The BORS may on the concurrence of the Academic Council or any other
	competent body recommend to the Syndicate recognition for any
	Department/Research Centre/Institute for conducting courses leading to the award of Ph D degree, and Integrated M. Phil & Ph D Degree in the subjects/allied
	of Ph.D degree, and Integrated M. Phil.& Ph.D Degree in the subjects/allied subjects assigned to it.
	Provided that the Department/ Research Centre/Institute, as the case may be,
	satisfies the BORS regarding the fulfilment of the following requirements:
	satisfies the DOKS regarding the fulfillent of the following requirements.
	i) Adequate infrastructural facilities are available for carrying out research
	work in the concerned subject/s;
	ii) PG/UG Course(s) is/are being offered to the candidates; or
	iii) it has been established, inter alia, for carrying out research in the discipline
	in which recognition as research centre is sought;
	iv) there are at least four teachers recognized as supervisors to provide research
	guidance; and in case of less than four supervisors, approved supervisors
	from allied Departments/Centres may be co-opted to make it four.
	v) a good track record of having carried out national level research projects to
	be determined by a committee constituted for this purpose by the Dean
	Research at the request of HOD/ Director of the Deptt/Centre/Institute
	concerned, comprising the following:
	(i) Dean Research (Chairman)
	(ii) Dean of the School concerned
	(iii) HOD/Director of the Allied subject
	(iv) Assistant Registrar (Research) (Secretary)
	(II) Notwithstanding anything contained contrary in clause 1 of Statute 3 of these
	Statutes, the Syndicate may, on the recommendation of the BORS and Academic
	Council or any other competent body, recognize any other Institution/ Research
	Centre/ Laboratory, that may or may not be affiliated to the University of Kashmir for conducting research programmes leading to the sword of Ph D. Degree and
	for conducting research programmes leading to the award of Ph.D Degree and Integrated M.Phil & Ph.D Degree.

4	<u>Con</u>	stitution of the DRC
	(I) (II)	 There shall be a Departmental Research Committee (DRC) with atleast four members to monitor research in each Department/Institute/Research Centre recognized for conducting course leading to the award of Ph.D Degree and Integrated M. Phil & Ph.D Degree, comprising the following: Head of the Department/Director/Coordinator of the Research Centre/Institute (Chairman); All approved supervisors of the Department/Centre/ Institute (Members); Any other faculty/expert/s whose participation might be considered essential by the Departmental Research Committee; and Observer/s not below the rank of Professor preferably from the allied subject to be nominated by the Vice-chancellor on the recommendation of Dean Research Provided that in case of the Department/S/Centre/s/Institute where the required minimum number of members is not available at a given point of time, the HOD/Director of that Department/ Centre/ Institute shall co-opt members from other allied Department/s/Centre/s on the recommendations of the Departmental Research Committee, after seeking approval of the Vice-Chancellor.
5	Dow	rers & Functions of the DRC
		 The DRC shall monitor & facilitate conduct of quality research in the Department/Institute/Centre and shall have the following powers and functions, namely: i) consolidate the number of vacancies indicated by individual supervisors and forward them to the concerned Dean for admission; ii) review & recommend the research synopses of candidates selected for Ph.D or Integrated M.Phil & Ph.D programme to the Dean of the School for placement before BORS; Provided that no such recommendation shall be made by the DRC unless the candidate justifies/defends his/her synopsis before DRC and where the DRC suggests any change/s, in the synopsis, the Chairman DRC shall ensure that all those changes are incorporated in it and only then the synopsis submitted by the candidate from an expert outside the Department/Centre/University, if deemed necessary, before approving it. iii) ensure strict adherence to research ethics; iv) prescribe the syllabus for course work for Integrated MPhil & Ph.D programme, if required; v) address all complaints/grievances of the scholars and supervisors pertaining to misconduct, misbehaviour, harassment etc. and shall make appropriate recommendations to the Dean of the School and where Chairman DRC or

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		 Dean of the School is the supervisor, then matter may be taken up by the Dean of the School or Dean Research, respectively as the case may be; vi) admit the candidate/s to research programme on provisional basis subject to the confirmation by BORS which shall be effective from the date of the meeting of DRC in which synopsis was approved. (II) It shall be obligation of the Chairman DRC to make efforts to ensure that the decisions in the DRC meeting are taken with consensus of all the members but where such consensus is not possible, then the decision/s of the majority members shall be deemed to be the decision/s of the DRC and, in case of tie, its Chairman shall have a casting vote.
	6.	Eligibility for admission to Integrated M.Phil & Ph.D Programme
		The candidates interested in seeking admission to Integrated M.Phil. & Ph.D programme must have secured atleast:
		 (i) 55% marks in aggregate, or its equivalent grade "B" in the UGC 7-point scale or an equivalent grade in a point scale where ever grading system is followed, excluding grace/statutory marks, if any, in Master's Degree in the concerned subject/ allied subject from a recognized university or in any recognized Professional Degree declared equivalent to the Master's Degree by the Equivalence Committee of the University or an equivalent Degree from a foreign educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognised or authorised by an authority , established or incorporated under law having this mandate or by an Equivalence Committee of the University; or (ii) 50% marks, excluding the grace/statutory marks, if any, if Master's Degree has been obtained prior to 19th Septemebr,1991. (iii) a relaxation of 5% of marks from 55% to 50% or equivalent relaxation of grade shall be allowed for the candidates belonging to SC/ST/OBC (non-creamy layer)/differently abled and other categories of candidates as provided under University Grants Commission (Minimum Standards and Procedure for award of M.Phil/Ph.D Degrees) Regulation-2016.
		Explanation: The Departmental Research Committee shall decide which of the subject/s is/are allied to the subject concerned but the Degree will be awarded to the candidate in the subject/discipline in which he /she has completed his/her

Master's Degree.

7. Eligibility for admission to Ph.D Programme

- (I) The candidates who have successfully completed the M.Phil Degree from the University of Kashmir or the candidates having been selected to the M.Phil Programme on the basis of entrance test and successfully completed M.Phil degree from any other recognized university, with at least 55% marks in aggregate or its equivalent grade "B" in the UGC 7-point scale (or an equivalent grade in a point scale where ever grading system is followed) or any other equivalent Degree declared so for the purposes of these Statutes by the Equivalence Committee of the University shall be eligible for admission to Ph.D Programme. However, a relaxation of 5% of marks from 55% to 50% or equivalent relaxation of grade shall be allowed for the M.Phil pass out candidates belonging to SC/ST/OBC (non-creamy layer)/differently abled and other categories of candidates as provided under University Grants Commission (Minimum Standards and Procedure for award of M.Phil/Ph.D Degrees) Regulation-2016.
- (II) Where Viva-Voce of M.Phil candidate is pending, he/she shall also be eligible for admission to Ph.D Programme, subject to the production of an affidavit duly sworn in before 1st class Judicial Magistrate to the effect that he/she shall not claim any benefit in case he/she fails to secure the required percentage of marks/Grade at M.Phil by or before the date of notification of the selection list.

8. **Procedure for Admission**

- (I) The admission to Integrated M.Phil & Ph.D Programme shall be made on the basis of the merit secured in the Entrance Test to be conducted by the concerned Dean of the School or by any other authority of the university empowered to do so by the competent authority.
- (II) Subject to Statutes 6, and 7 of these Statutes, the eligible candidates shall have to qualify the Entrance Test by securing altleast 50% marks.
- (III) Notwithstanding anything contained contrary herein above in clauses (I) and (II), candidates who have qualified UGC NET/JRF or CSIR the NET/JRF/SLET/SET/GATE/Teacher Fellowship or any other equivalent test declared so by the UGC or have passed M.Phil with least 55% marks or its equivalent grade "B" in the UGC 7-point scale (or an equivalent grade in a point scale where ever grading system is followed) shall be exempted from the Entrance Test. However, a relaxation of 5% of marks from 55% to 50% or equivalent relaxation of grade shall be allowed for the M.Phil pass outs belonging to SC/ST/OBC (non-creamy layer)/differently abled and other categories of candidates.
 - (IV) Where the number of candidates exempted from the Entrance Test under Clause (III) above is more than the number of seats available then no Entrance Test will be conducted.

9.	Entrance Test
	(I) Subject to Statute 8, there shall be Entrance Test for candidates seeking admission to Integrated M.Phil & Ph.D Programme.
	(II) The notification for the Entrance Test for all the faculties shall be issued by the Dean Research twice in a year preferably within three weeks after the declaration of the result of UGC/ CSIR NET/JRF. Notification shall be displayed on the university website and published in two national dailies, sufficiently indicating the number of seats for admission, subject / discipline-wise distribution of available seats, criteria for admission, procedure for admission and all other information for the benefit of the candidates.
	(III) The Deans of the Faculties/schools or any other authority designated for this purpose shall conduct Entrance Test for eligible candidates.
	 (IV) The Entrance Test Paper shall be arranged by the Dean of the School concerned in consultation with the Chairman DRC.
	(V) There shall be single Entrance Test paper with three parts having the following break up:
	 (i) Part -I General Aptitude with emphasis on logical reasoning, graphical analysis, analytical and numerical ability, quantitative comparisons, puzzles etc. (ii) Part-(II) Basic (conventional) questions on core papers. (iii) Part (III) Advanced (higher value questions) questions on Core papers
	 (VI) The question paper shall have 100 MCQ's with 1 mark each with no negative marks. Break of questions in the question paper shall be as follows: (i) Part -I 20 (ii) Part-(II) 30 (iii) Part (III)
	(VII) The candidates whose result of the final semester of the Master's programme or an equivalent Degree is awaited shall also be allowed to appear provisionally in the Entrance Test, subject to the production of an affidavit duly attested by 1st class Judicial Magistrate to the effect that he/she shall not claim any benefit in case he/she fails to secure the required percentage of marks at Master's level by or before the date of declaration of Entrance Test result.
	Selection Procedure
	 (i) Subject to Statute 9 of these Statutes, the selection of the candidates to Integrated M.Phil & Ph.D programme shall be made on the basis of Entrance Test.
	(ii) The candidates who have secured 50% marks in the Entrance Test shall be declared qualified and eligible for selection along with the candidates who were exempted from the test.
	(iii) The tie in the marks secured by the candidates in the Entrance Test shall be resolved on the basis of the percentage of marks secured by them in their Master's or Equivalent Degree.
	(IX) Any challenge to answer key of the answer paper shall be resolved on the basis of the Statutes/ Regulations/Guidelines in vogue in the university to this effect pertaining to the Entrance Test for admission to Master's Course and Post Graduate Answer Paper

	re-evaluation.
	(X) The list of the qualified candidates along with the waiting list will be prepared by the DRC and will be then forwarded by its Chairman to the Dean of the School who after satisfying himself/herself about the fairness of the selection will recommend it for approval to Dean Research.
	(XI) The list of qualified candidates will be notified by the Dean of the School and
	will be made available on the website of the university.
	(XII) The eligible candidate shall approach the prospective supervisors and decide
	about the broad area in which to pursue the research programme.
	(XIII) The qualified candidates shall be required to appear before the DRC to
	ascertain (i) student interest (ii) inclination (iii) research aptitude (iv) area of
	specialization/interest and DRC shall finalize the list of selected candidates and
	their supervisors. (XIV) Mere appearance in the list of qualified candidates shall not entitle a candidate
	to the final selection, if he/she is either found otherwise in-eligible or there is no
	vacancy available in the Department/Centre/Institute.
10.	Eligibility for Part-time Registration:
	The basic eligibility and admission procedure for part-time registration shall be the
	same as is applicable to full time registration but part time registration for
	Ph.D/Integrated M.Phil & Ph.D programme shall be open to only:
	i) University teachers with atleast two years of substantive service;
	ii) Permanent scientists of nationally recognized institutions with atleast four years
	of substantive service;.
	iii) Govt. College teachers with at least four years of substantive service;
	iv) Non-teaching employees of the universities/ nationally recognized educational
	and research institutions with at least four years substantive service; and
	v) State/Central Govt. employees with at least 7 years of substantive service.
11.	Joining the Programme:
	(I). The candidates selected for admission leading to the award of Ph.D or Integrated
	M.Phil & Ph.D Degree shall join the course within 30 days from the issuance of
	selection list failing which their admission shall automatically stand cancelled.
	Provided that the Dean of the School may extend the joining period under special circumstances to be recorded in writing after seeking permission for the same from Dean
	Research
	(II). The DRC shall finalize supervisors of the candidates immediately after their
	joining not later than two weeks on the basis of the area of specialization/interest
	of supervisor/ scholar/mutual compatibility.
	(III) The Dean Research shall cause to maintain the list of all the Ph.D and Integrated
	M.Phil & Ph.D registered scholars on the website of the University on year-wise
	basis which shall include the name of the candidate registered, Programme, topic
	of research, name of supervisor/co-supervisor, date of enrolment/registration.

12	Course Work for M.Phil Programme: [for opt out candidates]
	(I) The candidates registered for Integrated M.Phil & Ph.D Programme opting out for
	M.Phil Programme as provided under these statutes shall have to undergo a course
	work, in addition to writing a dissertation, which shall be preferably completed
	within 6 months after registration and shall be of sixteen credits. The dissertation
	shall include introduction to the topic of research, critical review of literature,
	identification of knowledge gaps, and preliminary observations based on research
	work.
	(II) The course work shall comprise three papers with the following break up:
	(i) Paper-I Research methodology [including, but not limited to]: research problem,
	hypothesis, purpose/utility of research, research design, quantitative methods,
	sampling, computer applications, research ethics, review of published literature in the
	relevant field, training, lab. and field studies etc. The syllabus for this paper shall be
	drafted by the DRC in consultation with the concerned supervisor/s [Six credits
	(06)]
	(ii) Paper-II Recent Advances in the Subject
	The syllabus for this paper shall be drafted by the DRC based on the recent
	developments within and outside India on the subject in which Degree shall
	have to be awarded and shall be common to all the scholars of the Department
	or group of the Departments or School where ever possible. [Five credits (05)]
	(iii)Paper-III Specialized Paper
	The syllabus for this paper shall be based on recent developments in the area of
	research and will be drafted by the concerned supervisor and approved by the
	DRC. It shall be set and evaluated by the concerned supervisor. [Five credits
	(05)]
	(III) The Papers I st and 2^{nd} shall be arranged by the Dean of the School and Paper 3^{rd}
	shall have to be procured by him/her from the supervisor concerned and the
	examination shall be held in accordance with the calendar issued by the Dean of
	the concerned School/Faculty.
13	Course Work for Ph.D Programme:
	The scholar who has completed M.Phil programme as stipulated under statute 12 of
	these Statutes or any other equivalent Degree shall be exempted from any course
	work for his/her Ph.D programme.
14.	Course Work for Integrated M.Phil &Ph.D Programme:
	(I) The scholars registered for award of Degree of Integrated M.Phil & Ph.D shall
	have to undergo a course work which shall be preferably completed within 6
	months after registration.
	(II) The course work shall comprise of three papers with the following break up:
	(i) Paper-I: Research methodology [including, but not limited to]: research
	problem, hypothesis, purpose/utility of research, research design,
	quantitative methods, sampling, computer applications, research ethics,
	review of published literature in the relevant field, training, lab., field
	studies etc. The syllabus for this paper shall be drafted by the DRC in
1	consultation with the concerned supervisor/s.

(ii) Paper-II: Recent Advances in the Subject

The syllabus for this paper shall be drafted by the DRC based on the recent developments within and outside India on the subject in which Degree shall have to be awarded and shall be common to all the scholars of the Department or group of the Departments or School where ever possible.

(iii) Paper-III: Specialized Paper

The syllabus for this paper shall be based on recent developments in the area of research and will be drafted by the concerned supervisor and approved by the DRC which shall be set and evaluated by the concerned supervisor.

(III) The Papers Ist and 2nd shall be arranged by the Dean of the School and Paper 3rd shall have to be procured by him/her from the supervisor concerned and the examination shall be held in accordance with the calendar issued by the Dean of the concerned School/Faculty.

15. Class Work for Course Work :

- (I) The Chairman DRC shall arrange classes for Papers I, II and III for the scholars who have opted out for M.Phil or registered scholars of Integrated M.Phil & Ph.D programme in his/her Department/Centre/Institute for which senior approved supervisors in the Department/Centre/Institute/experts shall be engaged. The specialized paper shall be the responsibility of the supervisor concerned as he/she is drafting the syllabus and shall provide the question paper and evaluate the answer script/s.
- (II) The Chairman DRC may arrange extension lectures of reputed resource persons who have made significant contribution in their field of research which is also relevant to the scholars undergoing Class work for M.Phil/Integrated M.Phil & Ph.D Programme.

16. Examination for Course Work:

- (I) Subject to provisions of these Statutes, the examination of all the three papers shall be preferably held at the end of 6 months by the respective Deans in accordance with the calendar issued by the Dean of the concerned School/Faculty.
- (II) Each paper shall carry 100 marks and a candidate has to secure at least 55% marks in aggregate in the course work in order to be eligible to continue in the programme and submit the Dissertation/Thesis.
- (III) Notwithstanding anything contained contrary in clause (I) above, where a candidate has failed in any paper/s, he/she can reappear at any time within six months but not before three months from the date of last examination to qualify the said theory examination, if he/she applies for the same.
- (IV) Where a candidate has failed to secure the required percentage of marks in aggregate in the second attempt, his/her registration shall automatically get cancelled, notwithstanding the fact that the period of registration has not yet expired.
- (V) Where a candidate is not satisfied with the evaluation of his/her papers, he/she can apply for revaluation which shall be governed by the Statutes/guidelines in vogue in the university for re-evaluation of Answer Papers of Master's Degree.

17.	Award of M.Phil in Integrated M.Phil &Ph.D:	
	(I) Where a candidate is registered for Integrated M.Phil & Ph.D programme and has	
	successfully completed course work but for some reasons, to be recorded in	
	writing, wants to opt out from the course, he/she is eligible for award of M.Phil	
	Degree provided he/she makes a request for the same to the DRC Chairman who	
	shall place it before DRC for its recommendation to BORS.	
	(II) Where DRC recommends award of M.Phil Degree to such candidate, he/she will	
	be governed by statute related to course work and dissertation of these Statutes as	
	if the candidate was registered for award of M.Phil Degree.	
	(III) Notwithstanding anything contained contrary, no candidate can opt out for M.Phil	
	Degree, if he/she is enrolled in the Department/Centre/ Institute where no M.Phil	
	Degree is awarded.	
18.	Preparation of Synopsis:	
10.	(I) The course work for M.Phil programme (opt out candidates) Integrated M.Phil &	
	Ph. D Programme and preparation of synopsis of the proposed work will be taken	
	up simultaneously.	
	(II) The synopsis on a prescribed format duly signed by the candidate and his/ her	
	supervisor shall be submitted in the office of the Chairman DRC for its	
	placement in the ensuing DRC.	
	(III) The DRC shall vet the synopsis, suggest changes/modifications/alterations, if	
	required, which will be incorporated by the concerned scholar in the synopsis,	
	authenticated by the Chairman DRC, and will be then processed for its placement	
	in the ensuing BORS.	
	(IV) Where a scholar is registered for Ph.D programme and is exempted from course	
	work under Statute 13 of these Statutes, he/she shall prepare synopsis in	
	consultation with supervisor immediately after joining in the manner prescribed	
	in above clauses.	
19 .	Meetings of the BORS:	
	(I) Subject to section 32 of the Act, the BORS shall preferably meet twice a year	
	depending upon the business available.	
	II) Final title of the dissertation/Thesis shall be recommended by the concerned supervisor on the prescribed proforma submitted by the scholar before 3/6	
	months for M.Phil/Ph.D programmes respectively, which may be endorsed by the	
	DRC and recommended by the Dean of the concerned school to Dean Research	
	for approval.	
20	Change of Supervisor:	
	(I) Notwithstanding anything contained contrary in these Statutes, change of	
	supervisor can be made in the manner prescribed as follows;	
	(i) Ordinarily the candidate shall continue his/her Ph.D/ Integrated M.Phil &	
	Ph.D programme under the supervisor selected/allotted by/to him/her by	
	the DRC; (ii) The change of supervisor can be considered by the POPS on the	
	(ii) The change of supervisor can be considered by the BORS on the representation submitted by the scholar with convincing justification duly	
	recommended by the Head/Director/Coordinator and the Dean of the	
	School concerned;	

	 (iii) Where Dean of the School is the supervisor of the scholar who seeks change of his/her supervisor, then the representation with convincing justification shall be made to the Chairman DRC who shall recommend and forward it to the BORS for consideration and appropriate decision. (II) Where a supervisor/co-supervisor has left the employment or has proceeded on long leave or is incapacitated or dies, or has expressed his/her inability in writing to supervise, a new supervisor/ co-supervisor shall be appointed by BORS on the recommendation of DRC with effect from the date of the DRC meeting.
21.	Duration:
	 (I) Subject to provisions of these Statutes, the duration of: (i) M.Phil Programme shall be one year of two consecutive semesters but the registration shall remain valid for two years from the date of joining the Department /Centre/ Institute; (ii) Ph.D programme shall be two years but the registration shall remain effective for six years from the date of joining the Department/Centre/ Institute.; (iii) Integrated M.Phil and Ph.D programme shall be three years but the registration shall remain effective for six years from the date of joining the Department/Centre/ Institute.; (iii) Integrated M.Phil and Ph.D programme shall be three years but the registration shall remain effective for six years from the date of joining the Department/Centre/ Institute. (II) Notwithstanding anything contained contrary in clause I above, the women registered scholars and persons with more than 40% disability shall have a relaxation of one year and two years for Ph.D and Integrated M.Phil & Ph.D, respectively in the prescribed duration of registration.
22.	 (III) Notwithstanding anything contained contrary in theses Statutes, the registered women scholars shall be entitled to maternity leave/ Child care leave upto 240 days in the entire duration of registration of M.Phil/Ph.D and this period shall not be considered while computing statutory period of registration or re-registration or re-registration or residency. Extension of Registration: (I) Where a scholar fails to submit his/her M. Phil dissertation within a period of one year from the date of joining the Department/Centre/Institute he/she may then apply for extension in the registration to the Chairman DRC that may be granted
	 in the manner as follows; (i) the scholar must make representation recommended by the supervisor, ordinarily two months before the expiry of one year of registration, by giving convincing reasons for non submission of Dissertation; (ii) the Chairman DRC, after satisfying himself/herself about the genuineness of the reasons stated in the representation, shall recommend and forward it to the Dean of the School for approval; (iii) the Dean of the School, after finding sufficient reasons for non submission of the registration at the earliest from the date of the expiry of period registration.

	(II)	(II) Where a scholar fails to submit his/her Thesis leading to the award of Ph.D within		
		two years or Integrated Ph. D within a period of three years reckoning from the		
		date of joining the Department/Centre/Institute, he/she may then apply to the		
		Chairman DRC for extension of one/ two years in the period of registration that		
		may be granted in the manner as follows;		
		(i) the scholar must make representation recommended by the supervisor,		
		ordinarily two months before the expiry of two/three years of registration		
		by giving convincing reasons for non submission of his/her Thesis;		
		(ii) the Chairman DRC, after satisfying himself/herself about the genuineness		
		of the reasons stated in the representation, shall recommend and forward it		
		to the Dean of the School for approval;		
		of the Thesis, shall grant extension of one/ two years in the registration at		
		the earliest from the date of expiry of period of registration.		
	(111)	Where a scholar fails to submit his/her Thesis even during the extended period of		
		two years, he/ she may then apply by giving convincing justification for non		
		submission to the Chairman DRC for extension of one more year in the period of		
		registration that may be granted in the manner as follows;		
		(i) the Chairman DRC, after satisfying himself/herself about the genuineness of		
		the reasons stated in the representation, shall recommend and forward it to		
		the Dean of the School concerned;		
		(ii) the Dean of the School, after finding sufficient reasons for non submission		
		of the Thesis, recommend and forward it to the Dean Research for grant of		
		extension of one year in the registration at the earliest from the date of		
		expiry of extended period of registration.		
	(IV)	The scholar must make representation for extension of time in registration,		
		recommended by the supervisor, ordinarily two months before the expiry of		
		extended time by giving convincing reasons for non submission of Thesis.		
23.	Re-r	registration:		
	(I)	The scholar who fails to submit M.Phil Dissertation or Ph.D/Integrated M.Phil &		
	(-)	Ph.D Thesis even within the extended period of registration as stipulated in		
		Statute 24 of these Statutes, he/she shall be eligible for re-registration to be		
		granted by the Dean Research on the recommendation of the DRC and the Dean		
		of the concerned School for a maximum period of one or two years for M.Phil		
		and Ph.D /Integrated M.Phil & Ph.D, respectively, reckoning from the date of		
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		DRC meeting in which registration was recommended for which the scholar has		
		to pay the prescribed fee.		
	(II)	Where a candidate fails to submit his/her Dissertation/Thesis within the re-		
		registration period, he/she shall have to apply afresh only after passing Aptitude		
		Test or Entrance Test as will be applicable.		
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24.		
	(I)	The residency period for M.Phil programme shall be of one year running from the
		date of joining the Department/ Centre/Institute.
	(II)	The residency for Ph.D Scholars including scholars registered for Ph.D in the School of Law with recognized LL.M Degree of 2 years duration through regular mode shall be for a period of two consecutive years and shall be put in the same manner as prescribed for Integrated M.Phil & Ph.D Programme. Provided that the scholars with one year's Master's Degree declared equivalent to Master's Degree offered by the university by the Equivalence Committee shall have to put in three years of residency period in the manner as prescribed for Integrated M.Phil & Ph.D programme.
	(III)	The residency period for Integrated M.Phil & Ph.D Programme shall be of consecutive three years and shall be put in by the scholar at any time during the period of registration, extension or re-registration.
	(IV)	The residency period for part-time scholars for integrated Ph. D programme shall be 3 years to be completed in 5 spells of 5 months each, to account for 25 months; other 11 months shall have to be resided in the evenings, weekends and holidays with attendance certified by the DRC or 6 months spells over 6 years.
	(V)	The Supervisor/Head/Director/Coordinator concerned shall maintain record of attendance which shall have to be produced on demand preferably at the time of seminar presentation of the scholar.
	(VI)	Notwithstanding anything contained contrary in these Statutes, the University teachers having put in at least two years service on substantive basis in the same Department where he/she is registered for his/her Ph. D/ Integrated M.Phil & Ph.D programme shall be exempted from residency period.
		Explanation: For removal of any doubt, the teachers working in university satellite campuses, having two years service on substantive basis, shall also be exempted from residency period provided they are registered for Ph.D/Integrated M.Phil & Ph.D in the same Department/Centre/Institute in the satellite campus in which they are working or are registered in their parent department in the University.
	(VII)	The university teachers exempted from the residency period shall submit Thesis at any time after the expiry of two years in case of Ph.D and three years in case of Integrated M.Phil & Ph.D and shall be entitled to extension in the period of registration and re-registration as stipulated in Statutes 22 and 23 of these Statutes.

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25.	Abse	nce from Place of Research:
	(I)	Notwithstanding anything contained contrary, the Vice-Chancellor on the
		recommendations of the Supervisor and Chairman DRC, may permit a research scholar registered for pursuing his/her research to go to any other institutions of repute within or outside the country if it is essential for his/her research work but the period of stay shall be decided by the Dean Research on the recommendation of concerned supervisor endorsed by the Chairman DRC and
		Dean of the School.
	(II)	Where the scholar has co-supervisor/Joint supervisor in any other university/Centre/Institute/ Laboratory, he/she is permitted to leave the station after seeking prior permission from the Dean Research on an application recommended by the concerned supervisor and forwarded by the Chairman DRC and he/she shall maintain record of attendance duly authenticated by the
		co-supervisor which shall form part of the attendance maintained by the office
		of the Dean of the School where the scholar is registered and shall be
		considered for computing residency period.
26.	Resea	arch Supervisor:
		 Any teacher working in any Department/Centre/Institute of the University and any teacher/ eminent scholar/ Scientist working in any other University/ Institution/Centre/ Laboratory /Govt College, on substantive basis for atleast two years, Ramalingaswamy, Ramanujan and other similar Fellows with two years experience shall be eligible to be the supervisor and co-supervisor/joint supervisors respectively for guiding scholars for M.Phil./Ph.D/Integrated M.Phil & Ph.D. Programme provided he/she has to his/her credit:- (i) a Ph.D. Degree; (ii) publication of at least five research papers in refereed/UGC approved journals published outside state except in the subject of Kashmiri and like to be determined by the BORS, if he/she is a Professor or Scientist of equivalent rank; or (iii) publication of at least two research papers in refereed/UGC approved journals, except in the subject of Kashmiri and like to be determined by the BORS, if he/she is an Associate/Assistant Professor or Scientists of equivalent rank. Explanation-I:Where a Professor has not the required number of publications as prescribed for Associate Professor/Assistant Professor, his/her number of vacancies shall be equal to the number of vacancies of an Associate Professor,
		 vacancies shall be equal to the number of vacancies of an Associate Professor, and where an Associate Professor/Assistant Professor does not have required publications, he/she shall not be recognised as a supervisor, notwithstanding anything contained contrary in these Statutes. Explanation-II The co-supervisor/joint supervisor has to produce certificate of the competent authority of his/her place of work certifying his/her recognition as a supervisor and granting permission to act as co-supervisor which has to be submitted before the DRC.

	(II)	The supervisor of the scholar shall be from the same Department/ Centre /Institute
		of the university where he/she is registered, but a co-supervisor/joint supervisor
		can be appointed from some other Department/ Centre /Institute within or
		outside university with the approval of the DRC to facilitate interdisciplinary
		research or to make use of infrastructure not available in the Department/
		Centre /Institute of the university where the scholar is registered.
		A supervisor or a co-supervisor can continue to guide his/her research scholar
	(III)	
		even after his/her superannuation till the award of Degree for which the
		supervisor or co-supervisor was appointed but cannot be appointed as a
		supervisor after his/her superannuation.
	(IV)	An approved supervisor can be appointed as a co-supervisor after his/her
		superannuation upto the age of 70 years.
27.	Dete	rmination of Vacancies:
	(I)	Subject to Statute 26 of these Statutes, the number of vacancies available to a
		supervisor or co-supervisor shall be determined on the basis of designation.
	(II)	The maximum number of scholars (whole-time and part-time taken together) that
		a supervisor shall be entitled to supervise at a time shall be as under:-
		(i) Professor or Scholar/Scientist of an equivalent rank: 3 M.Phil and Eight
		Ph.D Scholars;
		(ii) Associate Professor or Scholar/Scientist of an equivalent rank: 2 M.Phil
		and Six Ph.D Scholars; and
		(iii) Asstt. Professor or Scholar/Scientist of an equivalent rank: One M.Phil and
		Four Ph.D Scholars.
	(III)	Notwithstanding anything contained contrary in clause (I), the Department/
		Centre/ Institute shall admit scholars as per the infrastructure available in the
		Department where registration of the scholar is granted or where co-supervisor,
		if, any, is working.
		Explanation: For the purposes of working out vacancies in any Department/
		Centre/ Institute, supervision of two M.Phil scholars or co-supervision of two
		Ph.D scholars will fill up one vacancy of the approved supervisor and there
		shall be no difference between full time or part time scholars for the purposes of
		working out vacancies.
	(IV)	80% of the intake capacity in a Department/ Centre/Institute for Ph.D/ Integrated
	(1)	M.Phil & Ph.D programme shall be offered to candidates who will join on
		whole-time basis and the remaining 20% for part-timers.
		Provided that in case the seats fall vacant in part-time category due to non-
		availability of candidates, the seats shall be offered to the candidates eligible
		under whole-time category.
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28.	Assessment of Work Progress:
	(I) Every scholar opting for M. Phil or registered for Ph. D./Integrated M.Phil & Ph.D
	shall be required to maintain a data note book (wherever applicable) to
	meticulously record/ append the raw data (observations/photographs/autorads/
	graphs/consent-forms/survey-responses/other routine collections etc) with dates
	and tampering free page numbers.
	(II) The raw data collected by the scholar shall be meticulously recorded/ appended in
	a data note book on regular basis with dates and endorsement by respective
	supervisors, such that the data is readily available for assessment on demand.
	(III) Every scholar opting for M.Phil shall make one seminar presentation at any time
	before the submission of the Dissertation before the DRC which shall be open to
	all School members and research scholars and feedback/suggestions so obtained
	shall be incorporated in the Dissertation that shall be ensured by the concerned
	supervisor and Chairman DRC.
	(IV) Every scholar registered for Ph.D/Integrated M.Phil &Ph.D shall make at least
	four seminar presentations before the DRC, open to all School members and
	research scholars, and feedback/suggestions so obtained shall be incorporated in
	the Thesis.
	(V) The Research Progress Assessment Committee(RPAC) shall be constituted by the
	Chairman DRC consisting of the following;
	(i) Chairman DRC (Chairman)
	(ii) Three senior most members of DRC (Members)
	(iii) Nominee of the Vic-chancellor present in the 2 nd or 4 th presentation of the
	Scholar in case of Ph.D/Integrated M.Phil & Ph.D (Nominated Member)
	(VI)The Research Progress Assessment Committee (RPAC) shall evaluate these
	reports, certificates/reprint and if required, call the research scholar for any
	clarification and after being satisfied that the research has been carried out in
	accordance with its objective will issue a certificate signed by all the members
	which shall be forwarded to Dean Research for consideration.
	(VII)Where the Research Progress Assessment Committee is of the considered opinion
	that the research scholar has to further improve his/her Dissertation/Thesis on the
	lines suggested by it, further time shall be given to the scholar to give effect to these suggestions which shall be ansured by the Chairman DPC who shall issue a
	these suggestions which shall be ensured by the Chairman DRC who shall issue a certificate afterwards to the scholar to this effect.
	(VIII) The certificate of the Chairman DRC as required under Clause (VII) and/ or the
	report of the Research Progress Assessment Committee shall be forwarded to
	the Dean Research for consideration.
	(IX) The Research Progress Assessment Committee shall assess research progress of
	the scholar during the period of residency before the submission of his /her
	Thesis.
	Provided where a candidate is exempted from residency period, he/she shall
	make seminar presentation at least four times after an interval of six months
	before he/she submits his/her Thesis.
	before he/she submits his/her Thesis.

(X) The seminar presentations in case of Ph.D/Integrated M.Phil & Ph.D shall be made twice a year beginning from 2nd year of residency, after an interval of six months and in 2nd and 4th.presentations one nominee of the Vice-chancellor, either from the same School or allied subject shall be included in the DRC for which request has to be made by the Chairman DRC well in advance before fixing the date for such presentation.

Explanation I : For the candidates exempted from residency, the period of residency would mean the period of registration.

- (XI) Where the candidates are required to put in only two years period of residency, quarterly seminar presentations shall have to be made, beginning from the last quarter of the first year of registration to be made as provided in the above Clauses.
- (XII) Where a candidate has opted for M.Phil/or joined as registered scholar for Ph.D/Integrated M.Phil & Ph.D programme but has failed to put in residency period and has opted for extension in registration/Re-registration by virtue of which he/she may be able to put in one/two/three consecutive years of residency as required under theses Statutes, his/her calendar of seminar presentations shall be adjusted accordingly by the Chairman DRC.
- (XIII) The DRC shall inter alia evaluate the progress made by the scholar, assess the attendance records, and make suggestions for improvement/ modification/change/revision, if required, of the synopsis on a prescribed format.

29. <u>Presentation and Publication of Research Papers :</u>

- (I) Notwithstanding anything contained contrary in these Statutes, no research scholar shall be given academic clearance unless he/she shall have:-
 - (i) presented one research paper in the seminar/conference on the topic on which M.Phil registration was granted at any time before the submission of Dissertation; or
 - (ii) presented two research papers in two different seminars/conferences and one research paper published on the topic of research on which registration for Ph.D/Integrated M.Phil & Ph.D was granted but should not be commentary or review.
- (II) The research paper shall be published in a refereed/UGC approved journal published outside our state except in the subject of Kashmiri and like at any time before the submission of Thesis.
- (III) The research scholar shall have to submit evidence for the same to the DRC in the form of certificate of presentation of paper/s/reprint of the paper or acceptance letter of paper for publication where ever applicable.

30. Academic Clearance:

- (I) Academic clearance shall be a prerequisite for Dissertation/Thesis submission.
- (II) Every scholar shall produce:
 - i. an NOC from concerned Departmental/Iqbal Library/DSW/Chief Proctor/Provost/Directorate of Physical Education/Sports/Laboratory etc. to be submitted to the Dean Research;
 - ii. a certificate of seminar presentation issued by the Chairman DRC, quarterly

 research progress report and certificate of paper presentation from the organisers and Paper publication along with reprint or letter of acceptance, as applicable, shall be submitted by the scholar to the Research Progress Assessment Committee(RPAC) as prescribed under theses Statutes. (III)All the documents as required under Clause (II) above along with an electronic copy of the scholar's Dissertation/ Thesis shall be submitted by the concerned Chairman DRC to the office of the Dean Research for grant of academic clearance. (IV)The academic clearance shall be issued by the Dean Research preferably within a period of 15 days from the date of submission of all the requisite documents in the office of the Dean Research after he/she satisfies himself/herself that all the statutory formalities have been complied . Research misconduct: (I) The Electronic version of the Dissertation/Thesis shall be subject to evaluation for non-unique content, copyright violations and any other research misconduct with the help of plagiarism detection software in the manner prescribed in the University of Kashmir Policy for Prevention of Plagiarism, 2017; (II) Notwithstanding anything contained contrary in the University of Kashmir Policy for Prevention of Plagiarism as defined in that policy, he/she shall be asked by the committee prescribed in the Policy to remedy the violation in the manner as laid down in the following provisions of these Statutes;
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for Prevention of Plagiarism, 2017, where a research scholar has not been found guilty of dishonest plagiarism as defined in that policy, he/she shall be asked by the committee prescribed in the Policy to remedy the violation in the manner as laid down in the following provisions of these Statutes;
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(III) Where the Committee is of unanimous opinion that the scholar has violated copyright law but there is a scope for modification/alteration/ revision/change in the Dissertation/Thesis so as to make it in conformity with the requirements of Copyright Law within the prescribed statutory time for submission of Dissertation/Thesis, the scholar may be asked to make necessary modifications/alterations/ revision/changes, accordingly but where these changes cannot be effected within the statutory period including extension period, the scholar may be asked to apply for re-registration for this purpose.
(IV) Where the scholar has exhausted prescribed statutory period, including the period of re-registration but Committee is of unanimous opinion that there is a scope for modification/alteration/ revision/change in the Dissertation/Thesis so as to make it in conformity with the requirements of Copyright Law, the scholar may be asked to make necessary modifications/alterations/ revision/changes, accordingly within the period of six months, notwithstanding the expiry of the prescribed statutory period for submission Dissertation/Thesis.

32.	<u>Regi</u>	stration in Abeyance:
	(I) (II)	Where a full time scholar of Ph.D/Integrated M.Phil & Ph.D has put in one or more years of residency period and gets employment in the Govt. or semi Govt Department on substantive basis, his /her registration shall remain in abeyance till his/ her employer allows him/her to complete remaining period of residency but the period during which registration shall remain in abeyance shall not exceed to three years and the prescribed statutory period for submission of Thesis shall be worked out accordingly, notwithstanding anything contained contrary in Statutes 22, 23 and 24 of these Statutes. The scholar requesting for keeping his/her registration in abeyance shall make an application recommended by the supervisor to the Chairman DRC who shall forward it the Dean of the School for approval.
33.	Subr	nission of Dissertation/Thesis:
	(I)	The scholar shall submit, after obtaining clearance, 4/6 spiral bound copies of the Dissertation/ Thesis along with six abstracts with the prescribed certificate on the first page duly signed by the candidate, the supervisor/co-supervisor/joint
		supervisor concerned, if any, in the Department/Centre/Institute.
	(II)	The Dissertation/Thesis, <i>inter-alia</i> , shall be in conformity with the established norms/standards.
	(III)	It shall be in a form suitable for publication.
	(III) (IV)	It shall be computerized/photocopied on paper of 28 cm x 22 cm with a margin
	~ /	of atleast three cm on one side and one cm. on the other.
	(V)	The Dissertation/Thesis shall be hard bound with black/maroon coloured cloth/Rexene cover (having transparent dust cover), respectively.
	(VI)	The title of the Dissertation/Thesis, name of scholar, name of the
		Laboratory/Dept./Centre, name of School and month & year of submission shall
		be printed in bold letters on the face of the cover in golden colour. Field of
		work/Subject, name of the candidate and Year of Submission shall also be printed in golden colour on the spine of the Dissertation /Thesis.
34.	Evol	
54.	(I)	uation of M.Phil Dissertation: The M.Phil Dissertation submitted by the scholar shall be evaluated by his/her
	(1)	supervisor and one external examiner who is not in the employment of the
		university and is of the rank of a Professor, who shall be appointed in the
		manner prescribed as under:-
		(i) The supervisor shall submit a panel of four external examiners to the Chairman DRC who shall forward it to the Dean of the concerned
		 School where the scholar is registered; (ii) The supervisor shall obtain consent in writing, preferably through e-mail, before putting their names in the panel which shall form part of the records;
		(iii) The external examiner shall be appointed by the Vice-chancellor, out of the panel of four examiners, submitted by the Dean of the concerned School;

		(iv) The Vice-chancellor shall sufficiently indicate two more external
		examiners, out of the panel submitted, who could be approached if the
		first examiner is not available for evaluation of Dissertation or Viva-
		<i>Voce.</i>
	(II) The Chairman DRC shall send Dissertation alongwith abstract to the approved Examiner who will be requested to evaluate it and send a report, along with the
		marks awarded within a period of one month, after the receipt of the
		Dissertation.
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	(11	marks or equivalent grade as a partial fulfilment of the requirements for the
		award of M.Phil Degree.
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		awarded, satisfactory report and definite recommendation for the award of
		M.Phil Degree from the examiners shall submit them for approval to the
		Vice-chancellor for conducting <i>Viva-Voce</i> by the external examiner through
		skype. Dean of the school shall be the Chairman of the viva voce committee
		and shall sign on behalf of the external examiner as well.
	(V	I) Where the external examiner suggests any improvement/modification in the
		Dissertation, the scholar will be asked to make desired improvement/
		modification in consultation with his/ her supervisor and submit the same not
		later than six months from the date of communication of the decision to the
		scholar and Dissertation will be then evaluated by the same examiner and in
		case of his/ her non availability, then by another approved examiner who will
		conduct <i>Viva-Voce</i> also.
	(V	II) Where the external examiner is not willing to conduct <i>Viva-Voce</i> in the
		university, it can be conducted through Skype, if possible, and the Dean of the
		school shall complete the necessary paper work on behalf of the external examiner.
	$(\mathbf{V}$	III) The Chairman DRC shall in case of non availability of the external examiner
		conveyed in writing get <i>Viva-Voce</i> conducted by another approved examiner
		already indicated in the panel, if it is not even possible to conduct it through
		Skype.
3	5. Ev	aluation of Ph.D Thesis :
	(I)	There shall be two external examiners to evaluate the Ph.D Thesis submitted by
		the candidate, who shall be appointed as per the following procedure:
		(i) the supervisor of the scholar shall submit a panel of eight (08) examiners,
		with complete contact details/specialization to the Chairman DRC
		concerned who shall submit it to the Dean of the School concerned who
		shall forward it to the Vice-Chancellor for appointing/nominating two
		examiners from the panel;
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	(ii) the Vice-chancellor shall appoint two examiners and shall sufficiently
	indicate three more external examiners out of the panel submitted who
	could be approached if the first examiner(s) is/are not available for
	evaluation of Thesis or Viva-Voce;
	(iii) the external examiners shall be of the rank of a Professor;
(II)	(iv) the Supervisor shall seek consent of the external examiners empanelled by them to accept the assignment, preferably through e-mail, before forwarding the panel for approval that shall form part of the records.The Chairman DRC shall arrange to send the Thesis along with abstracts to two approved examiners along with instructions in the prescribed form regarding evaluation and submission of the report under a confidential cover.
(III)	evaluation and submission of the report under a confidential cover. The supervisor shall submit evaluation report/s of the Thesis which shall be an internal evaluation report and where there is co-supervisor also, then a joint evaluation report shall have to be submitted by them.
(IV)	The Chairman DRC, on receiving satisfactory report and definite recommendations for the award of Degree of Ph.D/Integrated M.Phil & Ph.D from all the three examiners, shall consolidate and submit them to the Dean of the School concerned who shall forward them to the Vice-Chancellor for obtaining approval for conducting <i>Viva-Voce</i> by one of the External Examiners, out of the two examiners who have evaluated the Thesis.
(V)	The examiners shall ordinarily send the evaluation report within 60 days from the receipt of the Thesis but the Chairman DRC shall send reminders to the examiner after the expiry of one month from the receipt of the Thesis.
(VI)	Where the reports are not received within the stipulated period of 60 days, and the examiner has either expressed his/her inability or is not responding to the reminders, the Chairman DRC shall send the Thesis to the 3 rd approved examiner and this process will move on if 3 rd examiner has also failed to submit the report.
(VII)	Where one of the examiners suggests that Thesis be rejected/modified, for the reasons to be recorded, it shall be sent to third approved external examiner and decision will be taken on the basis of his/her report.
(VIII)	Where two examiners suggest that the modifications be made, then the concerned scholar shall have to make suggested changes within six months and not later than one year from the date the decision is communicated, notwithstanding expiry of the statutory period as stipulated in Statutes 22, 23 and 24 of these Statutes and where two examiners suggest that the Thesis be rejected, it shall be rejected and the research scholar shall be declared ineligible for the award of the Degree on the basis of this Thesis.
(IX)T	The modified Thesis shall be evaluated by the original Examiner but where he/she
	xpresses his/her unwillingness to evaluate the Thesis or is not available, then the
	hesis shall be sent by the Chairman DRC to the examiner from the panel of
a	lready approved examiners.

36 .	Publi	ic Viva-Voce for Ph.D/Integrated M.Phil & Ph.D:
	(I)	The Chairman DRC shall arrange Viva-Voce which shall be open to DRC
		members, other teaching faculty of the Department, research scholars, PG
		students of the Department and other interested experts/researchers.
	(II)	The Viva- Voce for M.Phil shall carry 50 marks and the scholar has to secure
		atleast 55% marks to qualify it and would be conducted by the external
		examiner through skype who evaluated the dissertation.
	(III)	The Chairman DRC shall, in case of non-availability of the external examiner
		on skype for M.Phil viva, submit a report justifying non-availability of the
		external examiner on skype for viva voce, through Dean of the school and Dean
		Research for consideration of the Vice Chancellor. Vice Chancellor may
		authorize conduct of viva voce by the following Board of Examiners:
		Dean of the School Chairman
		Head of the Department/Director of the Research Centre
		Concerned Supervisor/co-supervisor/Joint supervisor
		Expert member to be nominated by the Vice Chancellor
		i. Where Dean of the concerned School is also holding position of the
		Chairman DRC, then senior most faculty member in the Department
		shall be co-opted as member Board of Examiners.
		ii. Where Dean of a school is the supervisor, Dean Research shall act as
		Chairman of the Committee.
	(IV)	For Ph.D programme, the research scholar to defend his/her thesis shall be
		conducted by the Board of Examiners, comprising the following:
		i. Dean of the School (<i>Chairman</i>)
		ii. Chairman DRC (Member)
		iii. Supervisor and/or co-supervisor if any in case of Ph.D/Integrated M.Phil
		& Ph.D (Member)
		iv. One of the two external examiners (nominated by the Vice-Chancellor).
		(Member)
		v. Where Dean of the concerned School is also holding position of the Chairman DBC, then conice most feasily member in the Department
		Chairman DRC, then senior most faculty member in the Department shall be co-opted as member Board of Examiners.
		vi. Where Dean of a school is the supervisor, Dean Research shall act as
		Chairman of the Committee.
	(IV)	The <i>Viva-Voce</i> shall be open and a research scholar shall present the main
	$(1\mathbf{v})$	findings/results of his/her Dissertation/Thesis in the form of a seminar lecture,
		but only members of the Board of Examiners shall ask questions/clarifications
		from the research scholar.
	(V)	Where the supervisor (internal examiner) is not available and is not likely to be
		available in the next two months after the receipt of the last report from the
		external examiner, the Vice-Chancellor may on the recommendation of the
		Chairman DRC and the Dean of the School concerned appoint an approved
		supervisor of that Department/ Centre/Institute, preferably with the same
		specialization, as a substitute for supervisor in the Board of Examiners.

	(VI) Where a candidate is not recommended for the award of Degree by the
	examiners at the time of the Viva-Voce, he/she may be permitted to re-appear in
	the Viva-Voce to be held not earlier than three months from the date of his/her
	first Viva-Voce.
	(VII) The Viva-Voce of the candidate for the second time shall be conducted by the
	original examiners unless they or any one of them is/are not available or is/are
	unwilling to act as such and in such cases the substitute examiners shall be
	appointed preferably from within the already approved panel.
37.	Declaration of result and award of the Degree of M.Phil:
	(I) The evaluation reports of the course work, marks secured, copies of two reports of
	the examiners and Viva-Voce report of M.Phil. shall be forwarded to the Dean of
	the School for onward submission to the Controller of Examinations for
	declaration of result, after seeking approval from the Vice-chancellor.
	(II) The candidates who are eligible for the award of M.Phil Degree shall be given
	grades on the basis of their marks secured in the course work, dissertation
	evaluation and <i>Viva-Voce</i> that shall be printed on the opposite side of the Degree
	Certificate.
	(III) The Grades for the M.Phil programme on the basis of the marks secured by the
	scholar shall be worked out as follows:
	Marks in Percentage Grade
	(i) 75% and above "A"
	(ii) 60% or more but less than 75 % "B"
	(iii) 55% or more but less than 60% "C"
38.	Declaration of result and award of the Degree of Ph.D/Integrated M.Phil &Ph.D:
	(I) The evaluation report of the course work, copies of three reports of the examiners
	and Viva-Voce report of Ph.D/Integrated M.Phil & Ph.D shall be communicated by
	the Chairman DRC through Dean of the School to the Controller of Examinations
	for declaration of the results.
	(II) The Controller of Examinations shall on the basis of the reports submitted under
	Clauses I above declare the result of the candidate for the award of Ph.D/
	Integrated M.Phil & Ph.D Degree, as the case may be, after seeking approval from
	the Vice-chancellor.
39.	Publication of Thesis:
	(I) Subject to the provisions contained in these Statutes where a candidate applies for
	a copy of the report of the examiners, these reports in full or excerpts thereof may
	be supplied to him/her on payment of the fee prescribed from time to time for the
	said purpose.
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- (II) The permission to publish the Thesis shall be accorded to the candidate subject to the condition that the suggestions (if any), made by the examiner, in the extract of the reports supplied to the candidate, have been incorporated in the revised Thesis which shall be certified by the Chairman DRC concerned.
- (V) The candidate shall on publication of the Thesis state on its title page that it is a Thesis approved for Ph.D. Degree by the University of Kashmir.
- (IV) Where full reports are required by any recognized organization /agency, these shall be supplied to such an organization/agency by the Controller of Examinations, after seeking approval from the Vice-chancellor.
- (V) The Chairman DRC shall get one copy of the Dissertation/Thesis accepted by the University for the award of Degree deposited in the Allama Iqbal Library and one/two copies shall be deposited in Departmental Library.

40. <u>Repeal:</u>

- (I) The Statutes governing admission and award of Integrated Doctor of Philosophy (Ph.D) or any other Statutes governing admission and award of Degree of M.Phil/Ph.D are hereby repealed.
- (II) Notwithstanding anything contained contrary in clause (I) above, the Statutes governing the award of M.Phil/Ph.D/Integrated Ph.D prior to their repeal shall continue to govern the scholars registered under theses statutes till the award of the Degree for which they were registered or till the statutory period of registration/extension/ re-registration expires whichever is earlier.